

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting

June 13, 2024

Agenda

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
Executive Session Anticipated	Review	None
1. GENERAL		
• Public Comment*		None
• Minutes of the March 7, 2024, Meeting	Approval	1.1
2. EXTERNAL AUDIT ACTIVITIES		
• Status of External Audit Engagements	Update	2.1
• 340B Compliance Partners - UH John Dempsey Hospital (JDH) 340B Program Audit for the period of June 1, 2023 – November 30, 2023	Summary Findings	2.2 2.3
• 340B Compliance Partners - UH Ryan White Part A (RWI) 340B Program Audit for the period of April 1, 2023 – September 30, 2023	Summary Findings	2.4 2.5
• 340B Compliance Partners - UH Hemophilia Treatment Center (HTC) 340B Program Audit for the period of June 1, 2023 – November 30, 2023	Summary Findings	2.6 2.7
• Auditors of Public Accounts – State of Connecticut Single Audit Report for the Fiscal Year Ended June 30, 2023	Presentation	2.8
3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES		
• Status of Audit Assignments	Update	3.1
• Status of Audit Observations	Update	3.2
4. COMPLIANCE ACTIVITIES		
• Significant Compliance Activities	Update	4.1
• Office of University Compliance Charter	Approval	4.2
• University Compliance Data Summary Annual Report	Presentation	4.3
• Healthcare Compliance and Privacy Dashboard	Presentation	4.4
• Informational/Educational Items	Informational	4.5
5. INFORMATION TECHNOLOGY		
• UConn	Update	5.1
• UConn Health	Update	5.2
6. OTHER BUSINESS		
7. ADJOURNMENT		

PLEASE NOTE: If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.

* Individuals who wish to speak during the Public Participation portion of the Thursday, June 13, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Wednesday, June 12) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.