

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting
December 19, 2024
Agenda

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
Executive Session Anticipated	Review	None
1. GENERAL		
• Public Comment*		None
• Minutes of September 26, 2024, Meeting	Approval	1.1
2. EXTERNAL AUDIT ACTIVITIES		
• Status of External Audit Engagements	Update	2.1
• Clifton Larson Allen, LLP (CLA) – Audits of UConn Health’s John Dempsey Hospital, University Medical Group & Finance Corporation Financial Statements for Fiscal Year Ended June 30, 2024	Presentation JDH Report UMG Report FC Report	2.2 2.3 2.4 2.5
• CLA – Approval to Extend the Appointment of CLA for Fiscal Year 2025 – UConn Health Audit Services	Approval	2.6
• James Moore & Co (JMCO) – Independent Accountants’ Report on the Application of Agreed-Upon Procedures Performed on the Athletics Program as Required by the NCAA for Fiscal Year Ended June 30, 2024	Presentation Report	2.7 2.8
• Auditors of Public Accounts – UConn and UConn Health Annual Comprehensive Financial Reports for Fiscal Year Ended June 30, 2024	Presentation UC Report UH Report	2.9 2.10 2.11
3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES		
• Status of Audit Assignments	Update	3.1
• Status of Audit Observations	Update	3.2
• Audit & Management Advisory Services (AMAS) Charter	Approval	3.3
• Joint Audit & Compliance Committee (JACC) Charter	Approval	3.4
4. COMPLIANCE ACTIVITIES		
• Significant Compliance Activities	Update	4.1
• Compliance Data and Trends – 2024 Annual Report	Presentation	4.2
• HealthCare Compliance & Privacy	Presentation	4.3
• Informational/Educational Items	Informational	4.4
5. INFORMATION TECHNOLOGY		
• UConn	Update	5.1
• UConn Health	Update	5.2
6. OTHER BUSINESS		
• JACC Meeting Schedule for Calendar Year 2025	Informational	6.1
7. ADJOURNMENT		

PLEASE NOTE: If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.

* Individuals who wish to speak during the Public Participation portion of the Thursday, December 19, meeting must do so 24 hours in advance of the meeting’s start time (i.e., 10:00 a.m. on Wednesday, December 18) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.