

University of Connecticut & UConn Health Joint Audit & Compliance Committee Meeting

June 6, 2018

2:00 pm – 2:30 pm - Executive Session

2:30 pm – 4:00 pm - Public Session

University of Connecticut, Lewis B. Rome Commons Ballroom, 626 Gilbert Road Extension, Storrs, CT

Topic	Proposed Action	Tab
Executive Session to discuss: <ul style="list-style-type: none"> C.G.S. 1-200(6)[E] – Preliminary drafts or notes that the public agency has determined that the public’s interest in withholding such documents clearly outweighs the public interest in disclosure. [1-210(b)(1)] C.G.S. 1-200(6)[E] – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims regarding Recovery Audit Contractor (RAC) Audits [1-210(b)(4)] C.G.S. 1-200(6)(E) – Records, reports and statements privileged by the attorney-client relationship. [1-210(b)(10)] C.G.S. 1-200(6)[C] – Records of standards, procedures, processes, software and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system. [1-210(b)(20)] 	Review	None
Opportunity for Public Comments		None
Minutes of the March 1, 2018 JACC Meeting	Approval	1
Auditors of Public Accounts <ul style="list-style-type: none"> Statewide Single Audit Report For the Year Ended June 30, 2017 Federal Student Financial Assistance Statewide Higher Education including UConn – Findings, Recommendations and Management’s Response https://www.cga.ct.gov/apa/reports/statewide/STATEWIDE_20180329_FY2017.pdf , pages 286-302 Report on University of Connecticut Health Center for the Fiscal Years Ended June 30, 2015 and 2016 	Presentation	2
UConn & UConn Health Significant Compliance Activities	Update	3
UConn & UConn Health Significant Audit Activities <ul style="list-style-type: none"> Status of Audit Assignments Follow Up Activities 	Update	4
Payment Card Industry Data Security Standards Update – Storrs and Regional Campuses	Update	5
UConn Health – HealthONE Update	Update	6
External Engagements <ul style="list-style-type: none"> Status of External Engagements 	Update	7
Informational/Educational Items <ul style="list-style-type: none"> Certificate of Achievement for Excellence in Financial Reporting Identity Theft Prevention – “Red Flags Rule” Article – Practical Guidance for Health Care Governing Boards on Compliance Oversight 	Information Only	8
Conclusion of Full Meeting		
Information Session with AMAS and OUC and External Auditors		

The next meeting of the JACC will be held on Thursday, September 20, 2018 at 10:00 am
University of Connecticut, Lewis B. Rome Commons Ballroom, 626 Gilbert Road Extension, Storrs, CT